

Investor FAQs for Claiming Unclaimed Dividend on Equity Shares from the Company

Dear Shareholders,

It is our endeavour to extend best possible services to our valued shareholders and other investors. For your convenience, we have furnished below a list of frequently asked questions on claiming unclaimed dividends declared by Mahindra & Mahindra Financial Services Limited ("the Company") with their answers.

A. Details of dividend declared by Mahindra & Mahindra Financial Services Limited ("the Company"/ "MMFSL")

Financial Year	% of Dividend Declared	Date of declaration of dividend	Proposed period for transfer of unclaimed dividend to IEPF	Last date to claim dividend from the Company	Amount of unclaimed dividend (in ₹) (As on 31 st March 2025)
2018-19	325%	23 rd Jul 2019	23 rd Aug 2026 to 21 st Sept 2026	10 th Aug 2026	13,03,393.00
2019-20	No Dividend was declared				
2020-21	40%	26 th Jul 2021	26 th Aug 2028 to 24 th Sept 2028	10 th Aug 2028	4,89,224.20
2021-22	180% (Rs. 3.6 per share)	28 th Jul 2022	28 th Aug 2029 to 26 th Sept 2029	10 th Aug 2029	8,10,592.60
2022-23	300% (Rs. 6.00 per share)	28 th Jul 2023	28 th Aug 2030 to 26 th Sept 2030	10 th Aug 2030	10,16,405.00
2023-24	315% (Rs. 6.3 per share)	23 rd Jul 2024	23 rd Aug 2031 to 21 st Sept 2031	10 th Aug 2031	17,52,145.70

B. How do I know if my Dividend is unpaid/ unclaimed?

You can search through the shareholder-wise unpaid/unclaimed dividend statement uploaded on the website of the Company at the web-link: <https://www.mahindrafinance.com/investor-relations/policy-and-shareholder-information#statements-of-unclaimed-and-unpaid-amounts> by entering your DP-ID/ Client ID/ Folio No./ PAN .

You may also search on the Investor Education and Protection Fund (IEPF) website by accessing the link: <http://www.iepf.gov.in>

C. How does one receive dividend on shares?

The Company obtains the details of beneficiary holders from the Depositories (NSDL/ CDSL) as on the date of the book closure/ record date fixed by the Board of Directors. Dividend in respect of shares held is normally paid electronically if complete bank account details including the IFSC Code, the 9-digit Magnetic Ink Character Recognition (MICR) code are available in the records of the depositories/ the RTA (for physical shareholders). In the absence of the required details, dividend demand drafts are issued and dispatched to the registered address of the Shareholders.

For timely and seamless receipt of electronic payment of dividend directly to your registered bank accounts, you are requested to keep your PAN and bank account details updated at all times with the depositories (for shares held in demat form) /RTA (for shares held in physical form), as applicable.

D. How do I claim my unpaid/unclaimed dividend?

The registered shareholder can send a request letter (attached as **Annexure – A**) to KFIN Technologies Limited ("KFin"), Registrar and Transfer Agent ("RTA") of the Company quoting their Depository Participant ID & Client ID, duly signed, with the following documents:

For Demat Shareholders:

- Self-attested copy of the Demat account client master ("**Client Master**") (You will get this from the Bank/ broker with whom you have a demat account);

For Physical Shareholders:

- Form ISR-1 duly filled and signed
- Self-attested copy of PAN card;

- Original cancelled cheque, bearing the name of the registered shareholder/copy of bank passbook/ statement attested by the bank. This is required for registration of your Bank Mandate, in case if it is not already registered.
- Self-attested copy of Address Proof

On receipt of your written request, KFin will verify the documents submitted and request the Dividend Banker to credit the unclaimed dividend amount electronically (through NEFT/ ECS) to your registered bank account, if the Dividend shows unpaid in the records of the Company.

Procedure of KYC Updation is given in “Annexure B”

You can download ISR form by clicking on the link : <https://ris.kfintech.com/clientservices/isc/isrforms.aspx>

Transfer of Unclaimed Amounts to Investor Education and Protection Fund (“IEPF”)

As per 124(5) of the Companies Act, 2013 (“the Act”), any money transferred to the Unpaid Dividend Account of the Company which remains unpaid or unclaimed for a period of seven years from the date of such transfer shall be transferred by the Company to the IEPF established by Central Government.

E. Can I revalidate / renew my Demand Draft?

Demand draft issued by the Company for dividend payment (in cases where electronic transfer of dividend has failed/ where details for electronic transfer/ complete bank details are not available) is valid for three months from date of issuance in terms of the guidelines issued by the RBI.

After expiry of validity period of the instrument, the instrument is cancelled and the dividend amount transferred by the Company is credited back to the relevant bank account of the Company.

Shareholders can claim the unpaid/ unclaimed amounts against the expired Demand draft by sending a request to the Company for electronic payout of the same as detailed in point c above.

There is no provision for revalidation/ renewal of demand drafts. The Company does not issue any fresh demand drafts on their expiry.

F. What if I have lost the Demand draft or if the same is undelivered?

If the Demand draft is undelivered, after the expiry of three months from date of issuance, the demat shareholders would be required to provide the updated copy of Client Master/ CML and the physical shareholders would be required to provide their updated KYC details to the Company’s RTA. Upon receipt of the same, the Company/ RTA would request the Dividend Banker to credit the dividend amount electronically (through NEFT/ ECS) to shareholder’s registered bank account, if the same is unclaimed.

G. Whom do I contact to claim my unpaid/unclaimed dividend?

You may contact our Registrar and Transfer Agent (“RTA”) – KFin Technologies Limited (“KFin”) at the details given below:

KFin Technologies Limited;

(Formerly known as KFin Technologies Private Limited) (Registrar and Transfer Agent) - Equity Shares/ Debentures

Unit: Mahindra & Mahindra Financial Services Ltd. Selenium Building, Tower B, Plot Nos. 31-32, Financial District, Nanakramguda, Gachibowli, Serilingampally Mandal, Hyderabad – 500 032, Telangana, India.

Contact Name – D. Suresh Babu

Tel.: +91 40 6716 2222/ 1800 309 4001

Fax: +91 40 2300 1153

Email: einward.ris@kfintech.com

H. By when can I claim my unpaid/unclaimed dividend?

A Shareholder can claim his / her unpaid/ unclaimed dividend from the Company up to 7 years from the date of declaration.

After the expiry of seven years, the Company is mandatorily required to transfer all unpaid/ unclaimed dividends to IEPF.

Further **all shares** in respect of which dividend had not been paid or claimed for seven consecutive years or more are also required to be transferred to IEPF.

Post transfer of dividends and/or shares, as the case maybe to IEPF, the Shareholders will have to claim their unpaid/unclaimed dividends and /or shares from IEPF authority.

I. I want the dividend credited in a different bank account. What would be the procedure for the same?

To register a different bank account, you are required to update the new bank account details with your respective Depository Participant.(for shares held in demat form). In case shares are in physical form, shareholders may send updated bank details to Company's RTA.

J. What is National Electronic Clearing Service (NECS)?

Under this system, you can receive your dividend electronically by way of direct credit to your bank account. This avoids lot of hassles like loss, postal delay, and fraudulent interception of dividend drafts during postal transit. We would strongly recommend that you opt for NECS, if you have not done so already.

K. What is the procedure for claiming dividend refund/shares from IEPF?

Members are requested to note that the due date(s) for claiming dividend as stated in point no. A of this document. In case, no valid claim in respect of unclaimed dividend is received from such Members by due date, the Company shall, with a view to complying with the requirements set out in the IEPF Rules, transfer the shares to the IEPF Authority as per procedure stipulated in the IEPF Rules.

Dividends not claimed and shares related thereto for seven consecutive years from the date of its transfer to the unpaid dividend account, will be transferred to the IEPF and the same can be claimed by from the IEPF authority by filing online form IEPF-5 along with requisite documents with the IEPF.

Please click on below link for detailed procedure: <https://www.iepf.gov.in/content/dam/iepf/pdf/iepf-forms/Instruction-Kit-Form-No-IEPF-5-20240718.pdf>. Also, you may refer to the FAQs and process given in the next section.

If you have any further queries, please feel free to contact our RTA at einward.ris@kfintech.com.

Notice to Non-Convertible Securities ("NCS") Holders: For claiming of unclaimed amounts by NCS Holders please refer to <https://www.mahindrafinance.com/investor-relations/policy-and-shareholder-information#mmfsl-policies>.

Notice to Fixed Deposit ("FD") Holders: For claiming of unclaimed deposits and more queries , please click on the below link: <https://www.mahindrafinance.com/investment/fixed-deposit/faqs>

Request Letter for claiming unclaimed amount(s) by Shareholders

To,
The Board of Directors,
Mahindra & Mahindra Financial Services Limited,
Mumbai

REF: FOLIO/DPID/CLIENT ID: _____

I/ we request the Company to credit/ transfer all the unclaimed dividend amount(s) of prior period(s) in respect of shares held by me, pertaining to the captioned Folio/DPID/Client ID, and lying with the Company, electronically to my bank account as per details submitted/ available with you or received by you from my depository participant.

I/we hereby confirm that I/we have not encashed, negotiated or otherwise dealt in any unclaimed amounts paid by the Company so as to create any adverse claim upon such amounts.

In consideration of you crediting the unclaimed amounts electronically to my bank account in lieu of the demand draft(s) lying unclaimed/ unpaid in my/ our name(s) and which are irretrievable/ lost or misplaced, I/we hereby agree and undertake to hold the Company, its Directors and officers harmless and to keep them protected from/against all losses, costs or damages which they may sustain or incur by reason of the Company crediting the unclaimed amounts (dividend) electronically, or by the original demand draft(s) being, at any time, found and presented for payment by any person or persons claiming to be the holder(s) of the demand draft(s) or in any way interested therein.

I/we further agree and undertake to return to you the original demand draft(s), should it be found by me/us or again come into my/our possession at any time hereafter.

	Name	Signature
First Holder:		
Second Holder:		
Third Holder:		
Address of the first holder:		
Witness 1 :		Witness 2 :
Name:		Name:
Address:		Address:
Signature:		Signature:

Date:

Note:

1. For this Request Letter to be considered as complete, please ensure the name and address of first holder and joint holders, if applicable, are filled correctly and it is duly signed by all the holders and 2 witnesses.

2. Enclose self- attested Client Master (if shares are held in Demat form) or Form ISR-1 along with self-attested copy of PAN Card, address proof and cancelled cheque (if shares are held in physical form).

KYC Updation Procedure

The procedure and documentation (including supportings) for making claim, depending on whether the claim is being submitted by the investor – self or by the legal heir/ nominee/ etc. of the investor:

1. Investor is required to submit the below documents in case of a Self-Claim:

- Self-attested Identity Proof, Address Proof & Cancelled Cheque in case of Physical Holding* –, if KYC is updated. Get the details verified from RTA.
- Self-attested Updated Client Master List (“CML”) in case of Demat Holding.

*Additional documents with the RTA (to be submitted by the investor only by way of Post/Courier) in case of Physical Holding if the following details are not updated:

Particulars	Click on the link below to access the Form
PAN, Contact details (postal address, Mobile number & E-mail), Bank details	ISR – 1 – Investor Service Request for registering details
Nominee details	SH – 13 – Nomination Form, SH – 14 – Cancellation or variation of Nomination, ISR – 3 – Opting out of nomination, (As applicable)
Signature	ISR – 1 – Investor Service Request for registering signature, ISR – 2 – Confirmation of Signature (As applicable)

2. Transmission of Securities: Documents in case of claims made by legal heir / nominee:

In case of claim to be made by legal heir/ nominee, they are required to complete the transmission procedure by submitting the requisite documents in line with ‘Chapter V – 20 (‘Annexure 14’ / ‘Annexure 16’) of SEBI Master Circular No. SEBI/HO/MIRSD/ MIRSD-POD/P/CIR/2025/91 dated 23rd June 2025 which can be accessed at the link https://www.sebi.gov.in/legal/master-circulars/jun-2025/master-circular-for-registrars-to-an-issue-and-share-transfer-agents_94735.html. Alternatively, you may refer separate FAQ on the subject.

In case of physical holding, legal heir/nominee is required to submit the documents for registration of transmission/nomination (to the RTA/Company) & in case of demat holding, they are required to submit documents with the Depository Participant of the investor. The Client Master List has to be verified / Modification Letter should be received.

In case of demat holding, on the completion of the transmission/nomination, the legal heir/nominee is required to submit the documents as specified in point no 1. above along with the following additional documents to the Company/RTA:

- a) Proof of completion of transmission/nomination;
- b) Death Certificate of the deceased investor;
- c) Updated Client Master List of the legal heir/nominee

3. Manner of submission of claim by the investor:

Investor is required to send the documents mentioned above, as applicable to the Company’s RTA :

- a) Through Post/ Courier: Hard copies of self-attested documents;
- OR
- b) Through e-mail: Scan copies of self-attested documents from the registered e-mail ID.

Claim of Dividend / Shares from Investor Education and Protection Fund Authority

As per section 124(5) of the Companies Act, 2013, when Dividend remains unclaimed/unpaid for a period of seven years, it has to be transferred to Investor Education and Protection Fund ("IEPF"). Further, as per Section 124(6) of the Companies Act, 2013, all shares in respect of which dividend has not been paid or claimed for seven consecutive years or more shall be transferred to IEPF.

A. How can I claim my shares/dividend which are transferred to IEPF Authority?

You can claim the shares from IEPF Authority by making an application online in web Form IEPF-5 and sending physical copy of the said Form IEPF-5 duly signed (as per registered specimen signature) along with requisite documents enumerated in the said Form IEPF-5.

APPLICATION PROCESS FOR FILING FORM NO. IEPF-5:

1. **REGISTER:** Applicant of the webform need to register as business user/registered user on the MCA portal <https://www.mca.gov.in/content/mca/global/en/home.html> before filing the webform.
2. **LOGIN** - MCA portal <https://www.mca.gov.in/content/mca/global/en/foportal/fologin.html> with your login credentials
3. **GO TO** - "MCA services" > "Company e-Filing" > "IEPF Services" > "IEPF-5 Web Form-Claiming unpaid amounts & shares"
4. **FILL UP** the application:
 - 4.1. **CIN:** Please ensure correct CIN (**L65921MH1991PLC059642**) of **Mahindra & Mahindra Financial Services Limited** is being entered
 - 4.2. **Dividend:** Under 'Details of amount claimed', you need to enter the amount of Dividend unclaimed for different years. Upon successful verification of your claim and supporting documents, the Company while filing e-verification report will mention actual amounts that you would be eligible for.
 - 4.3. Please ensure **no other IEPF-5 webform has been filed**, with the same folio number or demat account number as entered in the webform.
5. **SUBMIT** the webform
6. **SRN IS GENERATED** upon submission of webform (The SRN can be used by the user for any future correspondence with MCA). The claimant receives the SRN on email.
7. **INDEMNITY BOND is generated**
 - 7.1. **Execute Indemnity Bond** with requisite stamp amount, as per the prevalent rate of Stamp Duty of the State where the Indemnity Bond is executed (signed by all share holder(s)/claimant(s) with witness details).
 - a) Indemnity bond **on plain paper**, if the market value of shares and / or dividend amount claimed is upto Rs 10,000.
 - b) Indemnity bond **on stamp paper** of appropriate value as prescribed under Stamp Act (as applicable to the State where indemnity bond is executed) if the market value of the shares and / or dividend amount claimed exceeds Rs 10,000.
 - 7.2 Please attach KYC documents of shareholders/claimants and witness(es) while sending physical documents
8. **DISPATCH:** Claimant shall dispatch following physical documents at the address provided below after filing of the claim (Form IEPF-5) and **make sure to upload the postal receipt** on the MCA Portal on the same day of dispatch to enable the Company to do due verification of the claim and file the electronic verification report successfully:
 - 8.1. Copy of **Form IEPF 5** self-attested by all shareholders/claimants
 - 8.2. **Indemnity Bond** with requisite stamp amount (signed by all share holder(s)/claimant(s) with witness details).
 - 8.3. Original **Share Certificate**, in case shares were held in physical mode. In case of loss of share certificate, please send the documents required for issue of duplicate share certificate (Letter of Confirmation). *Documents required for issue of duplicate share certificate can be referred in Investors FAQ uploaded on the website.*

- 8.4. **Self-attested transaction statement** showing the debit of MMFSL shares to IEPF is required along with Client Master List (CML) **for the shares held in electronic form.**
- 8.5. Self-Attested copy of **PAN & Aadhaar** copy of all holder(s)/claimant(s) and witness(es)
- 8.6. Copy of latest updated and Active **Client Master List** (CML), Self attested by all holder(s) / duly attested by Depository Participant (DP). Please ensure that the DP-Client ID mentioned in the CML is same as that mentioned in the web Form IEPF-5.
- 8.7. **Original cancelled cheque** (as per the bank a/c which is registered in your demat a/c) Or Copy of the Passbook, duly attested by the Bank indicating Name, Bank and Branch Name, IFSC Code. Please ensure your Bank account details mentioned in the web Form IEPF-5 is same as there in the cancelled cheque / Passbook.
- Cancelled cheque having the printed name of the account holder is mandatory. If the cheque does not carry the name of the account holder the cheque should be attached with the copy of 1st page of the Bank passbook.***
- 8.8. **MISMATCH OF NAME:** In case there is **mismatch of name** between the records maintained by the **Registrar and Transfer Agent (RTA), Form IEPF-5, Aadhaar PAN & CML**, the claimant is required to submit an **Affidavit** on non-judicial stamp paper of Rs.20/-. In case of mismatch of name because of Marriage, Gazette Notification or Marriage Certificate to be provided.
- 8.9. **MISMATCH OF ADDRESS:** In case there is **mismatch of address** between the records maintained by the **Registrar and Transfer Agent (RTA), Form IEPF-5, and Aadhaar**, the claimant is required to submit an **Affidavit** on a non-judicial stamp paper and old address proof (address registered with the Company at the time of transfer of shares to IEPF).
- 8.10. **In case of Joint holding:** if one of the holders has passed away and the other holder (s) is /are surviving, submit along with other documents: (a) Duly notarised death certificate of the deceased holder; (b) Affidavit on Rs.20/- stamp paper for name mismatch in case of variation in name of the deceased holder between the death certificate and the name registered with the Company.
- Note:** *In case of any discrepancy / incompleteness in the documents, the Company / Kfintech may call additional information / documents from the claimant(s).*
9. **UPLOAD OF POSTAL RECEIPT:** Please upload the proof of dispatch of physical documents on the IEPF portal as soon as you dispatch the physical documents. ***This is an important process. Until the claimant submits the Postal Receipt, the Company will not be allowed to file e-verification report.***
10. Post filing of e-verification report by the Company, the IEPF Authority will further process the claim in terms of IEPF (Accounting, Audit, Transfer and Refund) Rules, 2016.

Note: Formalities for transmission of securities has to be completed as per Schedule II of IEPF Rules as attached in Annex-1, if the shareholder(s) has deceased.

B. How do I claim principal and interest amount on Non-Convertible Securities and Fixed Deposits?

- For claiming of Unclaimed Amounts by NCS Holders, kindly click on below Policy link:
[Internal Policy on verifications of claims of unclaimed amounts by NCS holders | Mahindra Finance.](#)
- For claiming amounts on Fixed Deposits, kindly click on below FAQ link:
[FAQs On Fixed Deposit \(FD\) | Mahindra Finance](#)

DOCUMENTS TO BE SUBMITTED IN RELATION TO TRANSMISSION OF SECURITIES WHICH ARE TRANSFERRED TO IEPF:**A. Document requirement for securities held in PHYSICAL MODE**

Category	Documentary Requirements
Singly/ Jointly with Nomination	<ol style="list-style-type: none"> 1. Duly signed transmission request form by the claimant- Form ISR-5 2. Copy of notarised death certificate of security holder self attested by claimant 3. Self-attested copy of PAN card 4. The original share certificate/original Entitlement Letter is to be sent to the company and scanned copy to be attached with the form 5. Any other government ID proof of the nominee 6. Confirmation letter stating the details of the nominee(s) registered, in case of nomination.
Singly/ Jointly without Nomination	<ol style="list-style-type: none"> 1. Duly signed transmission request form by the claimant- Form ISR-5 2. Copy of notarised death certificate of security holder self attested by claimant 3. Self-attested copy of PAN card 4. The original share certificate/original Entitlement Letter is to be sent to the company and scanned copy to be attached with the form 5. Any other government ID proof of the nominee 6. Affidavit from all the legal heirs made on appropriate non-judicial stamp paper, to the effect of identification and claim of legal ownership to the securities. Provided that in case the legal heir(s) or claimant(s) is named in the succession certificate or probate of will or Letter of Administration, an Affidavit from such legal heir(s) or claimant(s) alone would be sufficient. 7. Succession certificate or probate of will or will or letter of administration or Decree, as may be applicable in terms of Indian Succession Act, 1925 or any other Decree or Order of any Court or Tribunal or legal heir certificate issued by the revenue authority not below the rank of Tahsildar having jurisdiction. 8. In the absence of documents mentioned at point 7: <ol style="list-style-type: none"> a. No objection certificate from all legal heirs executed by all the legal heirs of the deceased holder not objecting to such transmission (or) copy of Family Settlement Deed duly notarized, and b. An Indemnity bond made on appropriate non-judicial stamp paper – indemnifying the STA or Issuer Company <p><i>For value of securities more than Rs. 5,00,000 per issuer company as on date of application, below additional document(s) required:</i> <i>Succession certificate or probate of will or will or letter of administration or Decree, as may be applicable in terms of Indian Succession Act, 1925 or any other Decree or Order of any Court or Tribunal or legal heir certificate issued by the revenue authority not below the rank of Tahsildar having jurisdiction.</i></p>
Note: <ol style="list-style-type: none"> 1. In cases where a copy of Will is submitted as may be applicable in terms of the Indian Succession Act, 1925, the same shall be accompanied with a notarised indemnity bond from the claimant to whom the securities are transmitted 2. In cases where a copy of legal heir certificate issued by the revenue authority not below the rank of Tahsildar having jurisdiction is submitted, the same shall be accompanied with– <ol style="list-style-type: none"> a. a notarised indemnity bond from the legal heir or claimant to whom the securities are transmitted; and b. a no objection certificate from all legal heirs other than claimants, stating that they have relinquished their rights to the claim for transmission of securities, duly attested by a notary public or by a gazetted officer. In case any other legal heir(s) is deceased, his/her notarised death certificate is required to be provided. 	

B. Documentary requirement for securities held in DEMAT MODE

Category	Documentary Requirements
Singly/ Jointly with Nomination	<ol style="list-style-type: none"> 1. Duly signed transmission request form by the claimant. Form ISR-5 2. Copy of notarised death certificate of security holder attested by claimant. 3. Self-attested copy of PAN card. 4. Copy of transaction statement showing the debit of RIL shares duly certified by Depository Participant / claimant. 5. Deceased holder's CML confirming the claimants name as nominee. 6. Any other government ID proof of the nominee.
Singly/ Jointly without Nomination	<ol style="list-style-type: none"> 1. Duly signed transmission request form by the claimant. Form ISR-5 2. Copy of notarised death certificate of security holder attested by claimant. 3. Self-attested copy of PAN card. 4. Copy of transaction statement (reflecting debit of shares held of Reliance Industries Limited in name of IEPF) duly certified by Depository Participant / Claimant. 5. Any other government ID proof of the nominee. 6. Affidavit from all the legal heirs made on appropriate non-judicial stamp paper, to the effect of identification and claim of legal ownership to the securities. Provided that in case the legal heir(s) or claimant(s) is named in the succession certificate or probate of will or Letter of Administration, an Affidavit from such legal heir(s) or claimant(s) alone would be sufficient. 7. Succession certificate or probate of will or will or letter of administration or Decree, as may be applicable in terms of Indian Succession Act, 1925 or any other Decree or Order of any Court or Tribunal or legal heir certificate issued by the revenue authority not below the rank of Tahsildar having jurisdiction. 8. In the absence of documents mentioned at point 7: <ol style="list-style-type: none"> a. No objection certificate from all legal heirs executed by all the legal heirs of the deceased holder not objecting to such transmission (or) copy of Family Settlement Deed duly notarized, and b. An Indemnity bond made on appropriate non-judicial stamp paper – indemnifying the STA or Issuer Company <p><i>For value of securities more than Rs.15,00,000 per issuer company as on date of application, below additional document(s) required:</i></p> <p><i>Succession certificate or probate of will or will or letter of administration or Decree, as may be applicable in terms of Indian Succession Act, 1925 or any other Decree or Order of any Court or Tribunal or legal heir certificate issued by the revenue authority not below the rank of Tahsildar having jurisdiction.</i></p>
<p>Note:</p> <ol style="list-style-type: none"> 1. In cases where a copy of Will is submitted as may be applicable in terms of the Indian Succession Act, 1925, the same shall be accompanied with a notarised indemnity bond from the claimant to whom the securities are transmitted 2. In cases where a copy of legal heir certificate issued by the revenue authority not below the rank of Tahsildar having jurisdiction is submitted, the same shall be accompanied with– <ol style="list-style-type: none"> a. a notarised indemnity bond from the legal heir or claimant to whom the securities are transmitted; and b. a no objection certificate from all legal heirs other than claimants, stating that they have relinquished their rights to the claim for transmission of securities, duly attested by a notary public or by a gazetted officer. In case any other legal heir(s) is deceased, his/her notarised death certificate is required to be provided. 	

Note: *The value of the securities as on the date of application shall be quantified by the applicant on the basis of the closing price of such securities at any one of the recognised stock exchange a day prior to the date of such submission in the application, for listed securities and for unlisted securities, the value shall be quantified basis on the face value or the maturity value of the security, whichever is more.*

FAQs on Claiming Unpaid Dividend / Amounts from the Company/IEPF

Issued by: Corporate Secretarial Department

Updated on: 22nd September 2025